

Import LC Amendment User Guide  
**Oracle Banking Trade Finance Process Management**  
Release 14.6.0.0.0

**Part No. F57088-01**

May 2022

Oracle Banking Trade Finance Process Management - Import LC Amendment User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

Copyright © 2018-2022, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

---

## Contents

<b>Oracle Banking Trade Finance Process Management</b> .....	<b>1</b>
Overview.....	1
Benefits.....	1
Key Features .....	1
<b>Import LC Amendment</b> .....	<b>2</b>
Common Initiation Stage.....	2
Registration .....	3
Application Details .....	5
LC Details .....	8
Miscellaneous.....	11
Document Linkage.....	13
OBTFPM- OBDX Bidirectional flow .....	17
Scrutiny .....	19
Main Details.....	22
Availability Shipment .....	26
Payment Details .....	34
Amendment Details .....	38
Additional Fields .....	39
Additional Details.....	40
Summary .....	54
Data Enrichment .....	56
Main Details.....	58
Availability & Shipment .....	58
Documents & Conditions .....	58
Payment Details .....	59
Amendment Details .....	59
Additional Fields .....	60
Advices .....	60
Additional Details.....	61
Settlement Details .....	75
Summary .....	76
Customer Response - Draft Confirmation .....	77
Customer Response.....	77
Exceptions.....	79
Exception - Amount Block .....	80
Exception - Know Your Customer (KYC).....	82
Exception - Limit Check/Credit .....	84
Multi Level Approval.....	87
Authorization Re-Key.....	88
Customer - Acknowledgement .....	90
Customer - Reject Letter .....	91
Reject Approval.....	92
Application Details .....	92
Summary .....	93
Action Buttons .....	93
<b>Reference and Feedback</b> .....	<b>95</b>
References.....	95

Documentation Accessibility .....	95
Feedback and Support.....	95

---

# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

## Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

---

## Import LC Amendment

Import LC Amendment process enables the user to make an amendment to the LC which had been already issued. The common amendments that are made to an Import LC are:

- Expiry date
- Latest Shipment Date
- Increase/Decrease in LC amount
- Tolerance percentage
- Confirmation instruction
- Available with bank
- Port of loading/discharge
- Goods quantity
- Related documents to be submitted

The amendments may need consent from the beneficiary of the amendment. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

The user can also amend an Import LC in closed status. In the following sections, let's look at the details for Import LC amendment process.

This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Registration</a>
<a href="#">Document Linkage</a>	<a href="#">Scrutiny</a>
<a href="#">Data Enrichment</a>	<a href="#">Customer Response - Draft Confirmation</a>
<a href="#">Exceptions</a>	<a href="#">Multi Level Approval</a>
<a href="#">Customer - Acknowledgement</a>	<a href="#">Customer - Reject Letter</a>
<a href="#">Reject Approval</a>	

### Common Initiation Stage

The user can initiate the new import LC amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Oracle Initiate Task Registration

Process Name: Import LC Amendment

LC Reference Number: PK25GLC19081AIWN

Branch: PK2-FLEXCUBE UNIVERSAL BANK

Buttons: Proceed, Clear

Oracle Menu: Security Management, Tasks, Completed Tasks, Free Tasks, Hold Tasks, My Tasks, Search, Supervisor Tasks, Trade Finance, Administration, Bank Guarantee Advice, Bank Guarantee Issua..., Enquiry, Export - Documentar..., Import - Documentar..., Initiate Task, Shipping Guarantee, Swift Processing

User Info: JEEVA02, subham@gmail.com, Mar 22, 2019

Activate Windows: Go to Settings to activate Windows.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

If the Letter Of Credit Amendment request is submitted through branch either by fax, mail, or physical application form, the Import LC amendment process starts from the Registration Stage.

During Registration stage, user can capture the basic details of the amendment application, check the signature of the applicant and upload the related documents of the applicant. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for an LC Amendment expert to handle the request in the next stage.

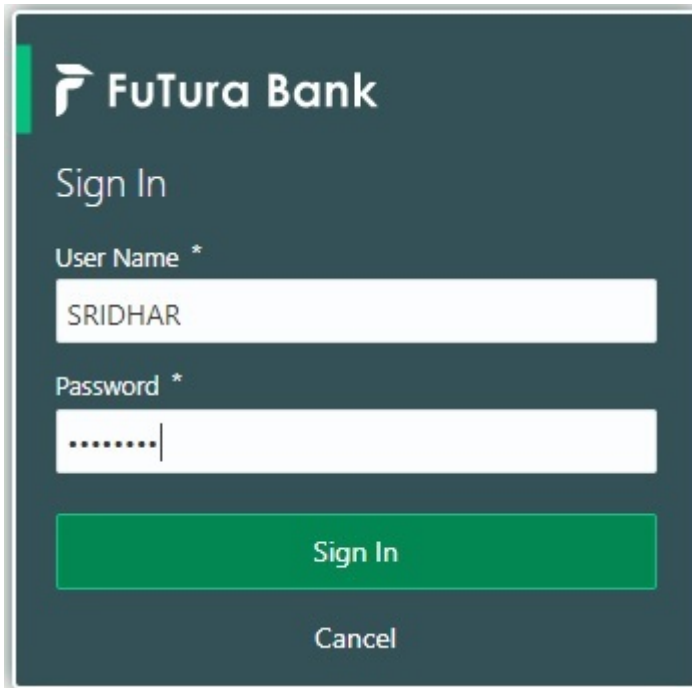
For Task initiated vide MT798, when customer initiates a Import LC Amendment request through SWIFT (Corporate to SWIFT) channel, the MT798 message containing the request is parsed and based on the STP parameters maintained, can create a Import LC Amendment Task in OBTFPM. The MT798 message, is routed to the Issuing Bank. Issuing Bank receives the MT798 and creates a task in Import LC Amendment Process in Scrutiny stage in OBTFPM.

The Incoming MT798 message contains 3 sections.

- MT798 Index Message which contains the Sub message type 772
- MT798 Details Message which contains the Sub Message type 707 (MT707 tags with values)

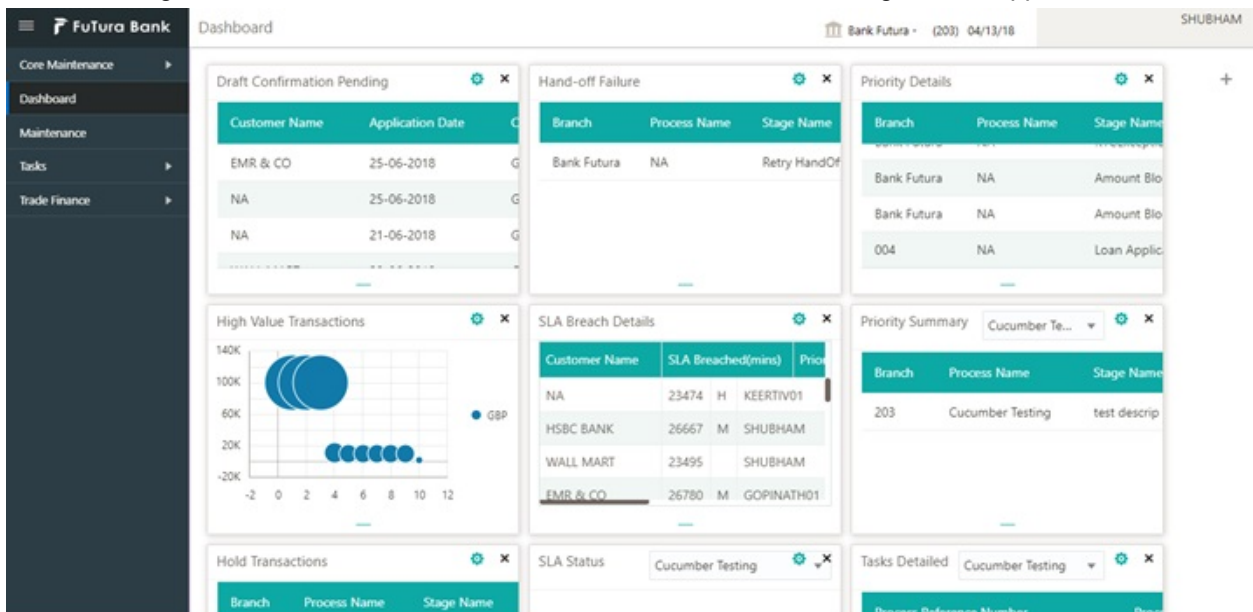
MT798 Extension Message which contains the Sub Message type 708 (MT708 Extension message tag values) if applicable.

Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It has a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button and a 'Cancel' link are at the bottom.

3. On login, user must be able to view the dashboard screen with widgets as mapped to the user.





#### 4. Click Trade Finance> Import - Documentary Credit> Import LC Amendment.

**Priority Summary**

Branch	Process Name	Stage Name	No of High Priority Items	No of Medium Priority Items	No of Low Priority Items
GS1	Export LC Amendment Beneficiary Consent	Registration	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval1	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval2	0	0	0

**High Priority Tasks**

Branch	Process Name	Stage Name	Process Reference Number	Customer Name	User ID
NA	NA	Scrutiny	203ILCIS0022460	Marks and Spencer	OBDX01
NA	NA	Scrutiny	203ILCIS0022459	Marks and Spencer	OBDX01
NA	NA	Scrutiny	203ILCIS0022458	Marks and Spencer	OBDX01
NA	NA	Scrutiny	203ILCIS0022457	Marks and Spencer	OBDX01

**Pending Exception Approval**

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
NESTLE	KYC Exception Approval	GS1ILCAM0022511	NA	FBN UK	GBP
EMR & CO	KYC Exception Approval	GS1ILCIS0022081	NA	FBN UK	GBP
NESTLE	KYC Exception Approval	203ILCAM0022288	NA	DEMO BANK	GBP

The Registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of Registration screens below:

### Application Details

**Import LC Amendment**

**Application Details**

20 - Documentary Credit Number: PK2ILSR211258002

Branch: PK2-Oracle Banking Trade Finan...

Submission Mode: Desk

Received From Applicant Bank: ☐

Amendment No: 1

Application Date: May 5, 2021

Received From - Customer ID: 001044

Process Reference Number: PK2ILCA000010923

Customer Reference Number:

Received From - Customer Name: GOODCARE PLC

Priority: Medium

Beneficiary Consent: ☐

**LC Details**

Revolving: ☐

Advising Bank: 001185 RBS PLC

Date of Expiry: May 31, 2021

Beneficiary: 001204 PK2WALKIN1

39C - Additional Amount Covered:

Export LC Available Amount:

LC Type: Sight

40A - Form of Documentary Credit: IRREVOCABLE

31D - Place of Expiry: LONDON

32B - Currency Code, Amount: GBP £10,000.00

Amount In Local Currency: GBP £10,000.00

Export LC Expiry Date:

Product Code: ILSR

31C - Date of Issue: May 5, 2021

51A - Applicant Bank:

39A - Percentage Credit Amount Tolerance:

Back to Back LC: ☐

Export LC Shipment Date:

Product Description: Import LC Sight Revolving advance Per

40E - Applicable Rules: UCP LATEST VERSION

Applicant: 001044 GOODCARE PLC

Limits/Collateral Required: ☐


Export LC Reference: PK2ELAC211250002

Closure Date: Jun 30, 2021

Buttons: Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT


Field	Description	Sample Values
Documentary Credit Number	<p>Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV.</p> <p>In LOV search/advanced LOV search, user can input Applicant, Currency, Amount, User Reference and Contract Status to fetch the LC details. The user can also search the LC which are in 'Closed' contract status. On selecting a closed LC, system displays a confirmation message that 'The LC has been closed. Do you want to Reopen'.</p> <p>On confirmation, user can amend the required fields as in the case of amendment of an active LC</p> <p>Based on the search result, select the applicable LC to be amended.</p>	
Received From Applicant Bank	<p>Import LC amendment request can be received from the applicant or from the applicant's bank.</p> <p><b>Toggle on:</b> Switch on the toggle if LC Amendment request is received from applicant's bank.</p> <p><b>Toggle off:</b> Switch off the toggle if LC Amendment request is received from applicant.</p>	
Received From - Customer ID	<p>Read only field.</p> <p>Customer ID will be auto-populated based on the selected LC from the LOV.</p>	001344
Received From - Customer Name	<p>Read only field.</p> <p>Customer Name will be auto-populated based on the selected LC from the LOV.</p>	EMR & CO
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC from the LOV.</p>	203-Bank Futura -Branch FZ1
Amendment No.	<p>Read only field.</p> <p>Amendment number will be auto-populated based on selected LC using documentary credit number. Amendment number increases by 1 for each amendment.</p>	
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p>	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High




Field	Description	Sample Values
Submission Mode	<p>Select the submission mode of Import LC Amendment request. By default the submission mode will have the value as 'Desk'.</p> <p><b>Desk</b>- Request received through Desk</p> <p><b>Fax</b>- Request received through Fax</p> <p><b>Email</b>- Request received through Email</p> <p><b>Courier</b>- Request received through Courier</p>	Desk
Application Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p> <div>  <p><b>Note</b></p> <p>Future date selection is not allowed.</p> </div>	04/13/2018
Customer Reference Number	<p>User can enter the 'Reference number' provided by the applicant/ applicant bank.</p> <p>Enables the user to provide a unique Customer Reference Number for the amendment.</p>	
Beneficiary Consent	<p><b>Toggle on:</b> Beneficiary consent required for the amendment made to the fields. Toggle must be on if amendments are made to the following fields:</p> <ul style="list-style-type: none"> <li>• Beneficiary</li> <li>• Documentary Credit Amount</li> <li>• Expiry Date</li> <li>• Tolerance</li> <li>• Available By</li> <li>• Tenor</li> <li>• Mixed/Deferred Payment Detail</li> <li>• Latest Shipment Date</li> </ul> <p>Application will display warning message, if the toggle is not enabled for the amendments made to the above mentioned fields.</p> <p><b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for the amendments.</p>	


## LC Details

Details in this screen displays the data from the LC issued.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	<b>Toggle On:</b> LC type is Revolving. <b>Toggle Off:</b> LC is type Non Revolving.	
LC Type	Read only field. LC type will be populated based selected LC using documentary credit number.	
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details (if provided) of the selected LC and user can amend if required.  <div>  <p><b>Note</b></p> <p>In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message “RMA arrangement not available, please change the bank or use MAIL Medium”.</p> </div>	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected LC and user can amend if required.	
Date Of Expiry	<p>This field displays the expiry date of the selected LC. and user can amend if required.</p>  <p><b>Note</b> If amendment to the Expiry date (postponing the expiry date before expiry date of the underlying Export LC), field in the Import LC, system validates the amended value against the Export LC value and display configurable override.</p>	09/30/18
Place of Expiry	This field displays the place of expiry of the selected LC and user can amend if required.	
Applicant Bank	<p>Read only field.</p> <p>This field displays the applicant bank details of the selected LC.</p>	
Applicant	<p>Read only field.</p> <p>This field displays the details of the applicant of the selected LC.</p>	
Beneficiary	<p>This field displays the beneficiary details of the selected LC and user can amend if required.</p>  <p><b>Note</b> If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message.</p>	
Currency Code, Amount	<p>This field displays the value of LC along with the currency details of the selected LC and user can amend if required.</p>  <p><b>Note</b> If amendment to the Amount (greater than the Export LC outstanding amount), field in the Import LC, system validates the amended value against the Export LC value and display configurable override.</p>	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected LC and user can amend if required.	

Field	Description	Sample Values
Limits/Collateral Required	<p><b>Toggle On:</b> Set the toggle 'On' to enable limit check.</p> <p><b>Toggle Off:</b> Set the toggle 'Off' to disable limit check.</p>	
Additional Amount Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	
Amount In Local Currency	<p>After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field.</p> <p>System fetches the local currency equivalent value for the LC amount from back office (with decimal places).</p>	
Back to Back LC	<p>Flag to check if the Import LC is a back to back LC.</p> <p>Toggle On: Set the Toggle On if back to back LC is applicable.</p> <p>Toggle Off: Set the Toggle Off if back to back LC is applicable.</p>	
Export LC Reference	<p>Export LC Reference that is to be linked to Back to back LC.</p> <p>User can select or enter the underlying Export LC reference.</p>	
Export LC Available Amount	The system displays the available amount under the Export LC.	
Export LC Expiry Date	The system displays the expiry date of the underlying Export LC.	
Export LC Shipment Date	<p>The system displays the latest shipment date of the underlying Export LC.</p> <p>  <b>Note</b>            If amendment to the Date (postponing the Latest Shipment Date before the Latest Shipment date of the underlying Export LC) , field in the Import LC, system validates the amended value against the Export LC value and display configurable override.</p>	

Field	Description	Sample Values
Closure Date	<p>System default the "Closure Date" value from the previous version of the contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> <li>• Closure Date must be after the Issue Date.</li> <li>• Closure Date must be after the Expiry Date.</li> <li>• Closure Date cannot be blank, when the "Auto Close" is checked.</li> </ul>	

## Miscellaneous

Import Documentary Collection Booking

Documents Remarks Customer Instruction Common Group Messages

Application Details

Received From Remitting Bank ☐

Submission Mode

Process Reference Number

Drawee  NATIONAL FRER

Booking Date

Remitting Bank Date/Remitting Date

Branch

Remitting Bank/Remitter  TBE BANK

Priority

Remitting Bank/Remitter Reference

Collection Details

Documents Received

Operation Type

Amount In Local Currency  £40.53

Tenor Type

Stage

Finance Amount

Product Code

Contract Reference Number

Drawer  SH TEST CORP

Product Description

Bill Amount  \$100.00

Limit verification required ☒

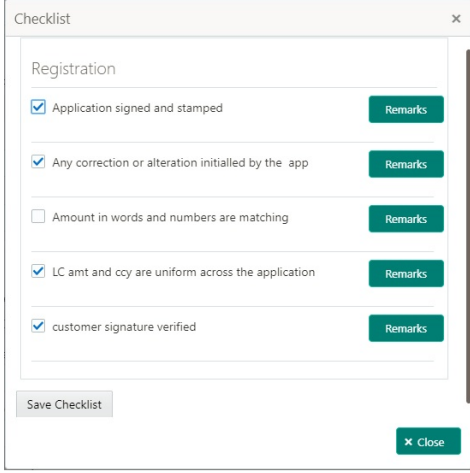
Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	Enables user to view the details of the LC.	
<b>Action Buttons</b>		
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the Import LC Amendment Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	



Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

## Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status All ▼

Letter of Credit  
Pro-forma Invoice

Letter of Credit  
Application Form

+

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type \*  
Letter of Credit ▼

Document Title \*

Remarks

Document Code \*  
Insurance Policy ▼

Document Description

Document Expiry Date

Drop files here or click to select

Link Document

Selected files: []

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from metadata.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from metadata.	
Document Code	This field displays the document code from metadata.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Documents

Document Status: All

Letter of Credit  
Pro-forma Invoice

Letter of Credit  
Application Form

wqwq.png

Created - 2022-06-28  
By - PERI01

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click **Edit** icon to edit the documents. The Edit Document screen is displayed.

Edit Document

Document Id: 2400

Application Reference Number: PK2ILCI000019041

Document Type Id: TFPM\_DOCTYPE001

Document Title: wqwq

Entity Reference Number: PK2ILCI000019041

Document Description:

Document Expiry Date: Jun 29, 2022

Remarks:

Drop files here or click to select

Current selected files: []

Update Cancel

## OBTFPM- OBDX Bidirectional flow

As a part of Digital Experience, customers can initiate Trade Finance Transactions from online channels and the respective task will be available in OBTFPM for further handling.

OBTFPM user, for task received from online channel, raise clarification and receive response from the customer. Customer initiates the Trade Finance transaction in Online channel (OBDX) and upload the necessary documents.

8. The task created will land in the Scrutiny stage of OBTFPM for handling by Trade expert for reviewing and identifying mismatch/incomplete data.

9. In the Scrutiny, Data Enrichment or Approval the bank user may require clarification from customer, OBTFPM user clicks **Request Clarification** button to request for online clarification from customer

The screenshot shows the 'Main Details' screen for an 'Import LC Amendment - Scrutiny' task. The application number is 300ILCA000039469. The 'Request Clarification' button is highlighted with a red box at the bottom right of the form.

Application Details	
Received From - Customer ID	001506
Received From - Customer Name	MARKS AND SPENCER
Amendment No	1
Process Reference Number	300ILCA000039469
Branch	300-International Payments-Fast...
Submission Mode	Desk
Application Date	Jan 1, 2016
Customer Reference Number	
20 - Documentary Credit Number	300ILSN16001A9NG
Priority	Medium
Beneficiary Consent	<input type="radio"/>

LC Details	
Revolving	LC Type
Advising Bank	40A - Form Of Documentary Credit
Date Of Expiry	IRREVOCABLE
May 31, 2020	31D - Place Of Expiry
Beneficiary	Mumbai
39C- Additional Amounts Covered	32B - Currency Code, Amount
	USD \$10,000.00
	Product Code
	ILSN
	31C - Date Of Issue
	Jan 1, 2016
	51A - Applicant Bank
	39A - Percentage Credit Amount Tolerance
	/
	Product Description
	Import LC Sight Non Revolving
	40E - Applicable Rules
	UCP LATEST VERSION
	Applicant
	001506 MARKS AND SP
	Limits/Collateral Required
	<input type="radio"/>

Buttons at the bottom: Audit, Request Clarification (highlighted), Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

10. The **Request Clarification** detailed screen appears, user enters the information and clicks **Save**, the information should be sent to customer.

11. OBTFPM user should be able to see the details in the View Clarification window and the status will be Clarification Requested. The user can click Re clarification if required.

The screenshot shows the 'Clarification Details' window for application PK2ILCI000042424. It contains a text area with the following message:

Proforma Invoice is not uploaded. Instead some other document is uploaded as proforma invoice. Please delete the present document and upload the proforma invoice

A 'Save & Close' button is located at the bottom right of the window.

12. The task goes to **Awaiting Customer Clarification** state. until the response received from the customer.

Oracle Awaiting Customer Clarification

Menu Item Search...

Core Maintenance Dashboard Machine Learning Maintenance Security Management Tasks Awaiting Customer Clarification Completed Tasks Free Tasks Hold Tasks My Tasks Search Supervisor Tasks Trade Finance Administration Bank Guarantee Advise Bank Guarantee Issuance Enquiry Export - Documentary Collection

Refresh Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Import LC Amendment	PK2ILCA000042586	PK2ILCA000042586	Scrutiny	20-12-16	PK2	006466	

Page 1 of 1 (1 - 1 of 1 Items) < 1 >

13. Click **Edit**.

Clarification Details - Application No : PK2ILCI000042424

Clarification	Raised By	Clarification Date	Response	Response Date	Response Type	Status
<input type="checkbox"/> Proforma Invoice is not uploaded. <input type="checkbox"/> Instead some other document is	SUNDAR01	2019-03-21T18:31				Clarification Requested

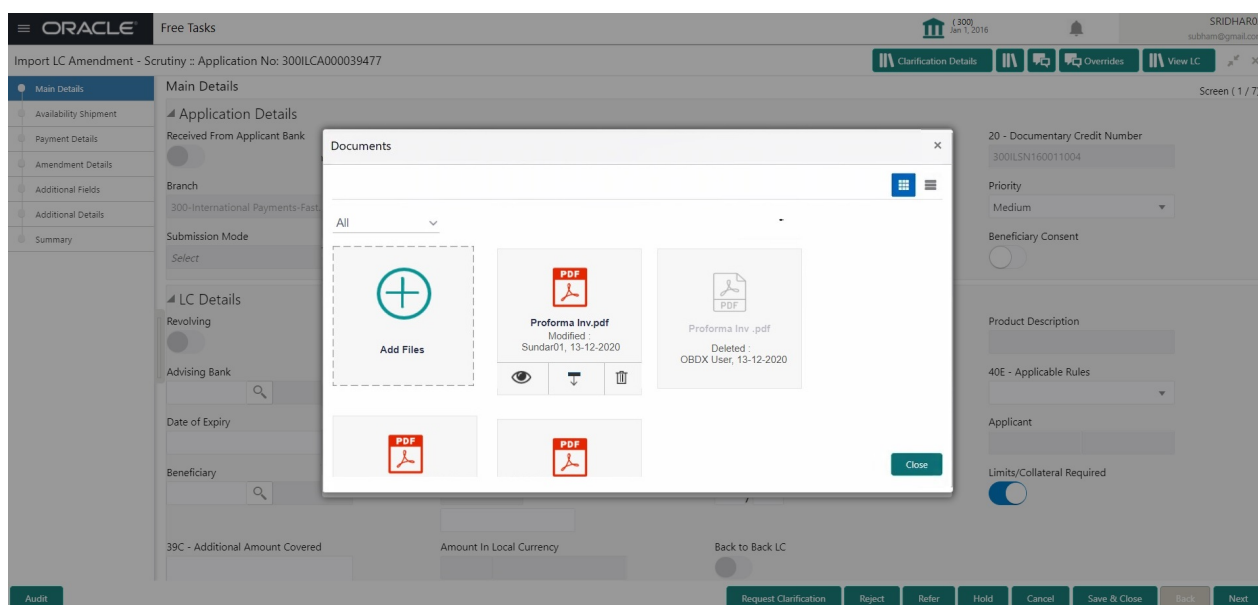
Re Clarification Manual Update

Enter text here...

Accept Clarification Close

14. The user can click **Accept Clarification** button, if the query raised has been answered by the customer. The status should change to Clarification Accepted. on next the task moves to the next logical stage.
15. Bank user checks the Clarification and opens the **Documents** Tab. System displays both the new document uploaded and the metadata for deleted document and the deleted document is displayed in

a blurred way. User can open the new document, the deleted document cannot be opened. System should also increment the version number of the documents.



## Scrutiny

On successful completion of Registration of an Import LC Amendment request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

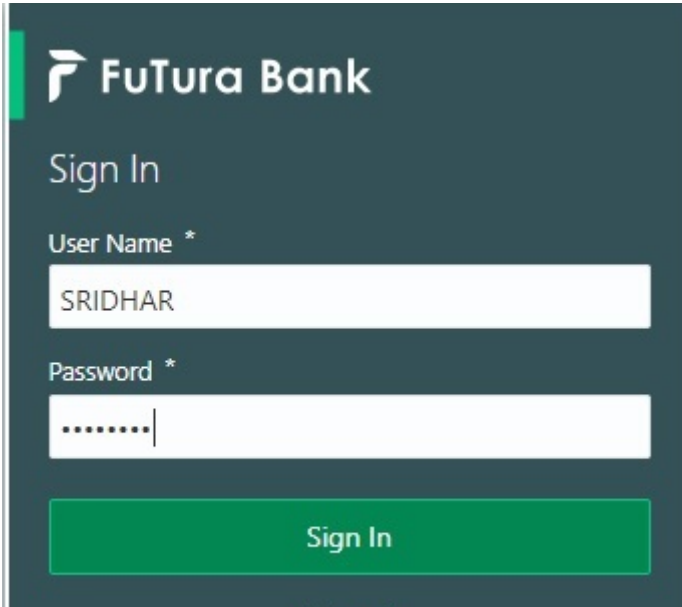
**Non-Online Channel** - Import LC Amendment request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

In case of MT798 message, the task is verified and enriched. The user if required can update the editable fields. The fields that have been changed/updated have to be highlighted by the system and the user can check the incoming message place holder for the original value. If the User encounters validation error during handling the task, a MT719 (Bank to Corporate message) common group message is created in the workflow and the task is put on 'Hold'.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



**FuTura Bank**

## Sign In

User Name \*

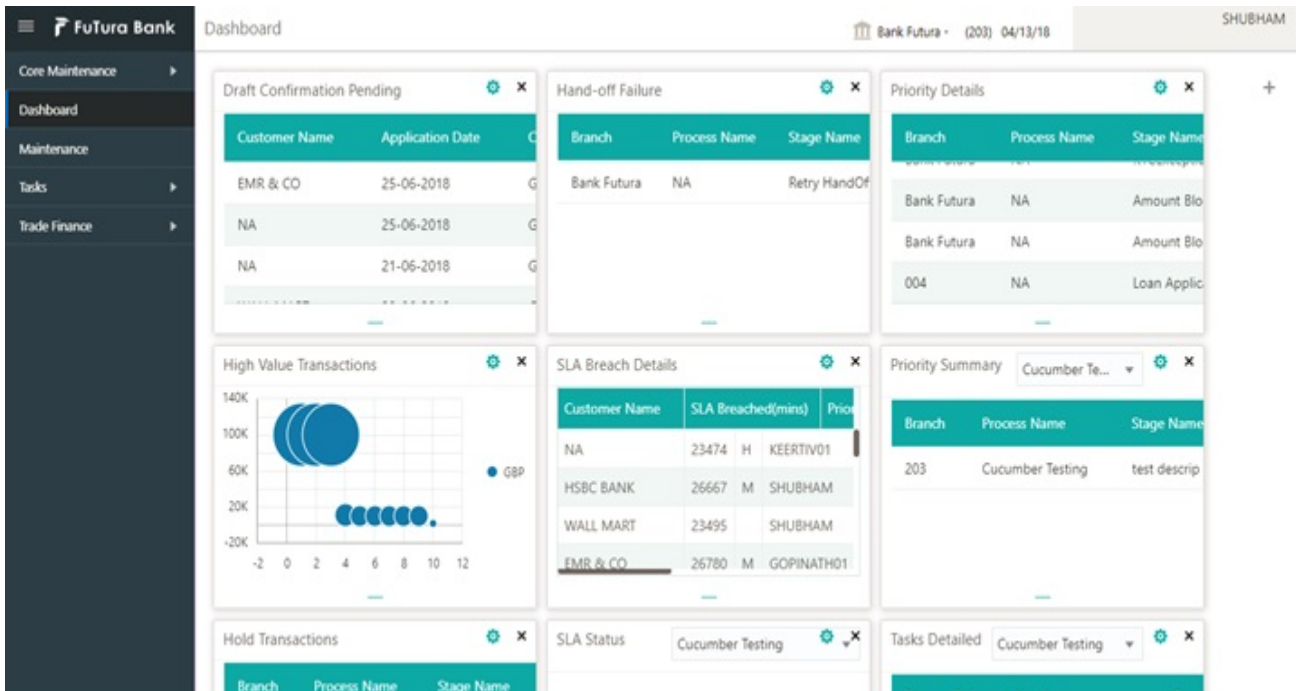
SRIDHAR

Password \*

.....

**Sign In**

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



**FuTura Bank** Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

**Draft Confirmation Pending**

Customer Name	Application Date	Stage
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

**Hand-off Failure**

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

**Priority Details**

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

**High Value Transactions**

140K  
100K  
60K  
20K  
-20K

-2 0 2 4 6 8 10 12

GBP

**SLA Breach Details**

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

**Priority Summary** Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

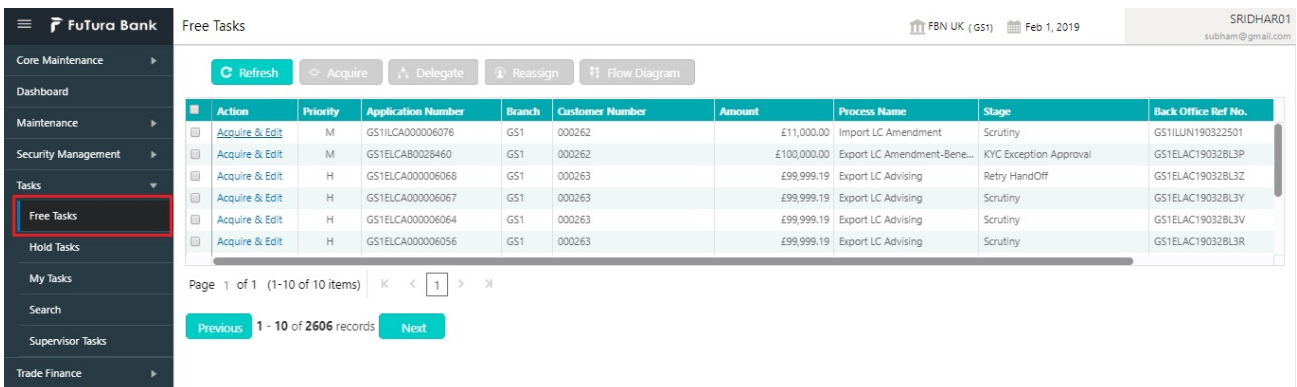
**Hold Transactions**

Branch	Process Name	Stage Name
--------	--------------	------------

**SLA Status** Cucumber Testing

**Tasks Detailed** Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



**FuTura Bank** Free Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

**Refresh** **Acquire** **Delegate** **Reassign** **Flow Diagram**

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006076	GS1	000262	£11,000.00	Import LC Amendment	Scrutiny	GS1IUN190322501
Acquire & Edit	M	GS1ELCAB0028460	GS1	000262	£100,000.00	Export LC Amendment-Bene...	KYC Exception Approval	GS1ELAC190328L3P
Acquire & Edit	H	GS1ELCA000006068	GS1	000263	£99,999.19	Export LC Advising	Retry HandOff	GS1ELAC190328L3Z
Acquire & Edit	H	GS1ELCA000006067	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328L3Y
Acquire & Edit	H	GS1ELCA000006064	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328L3V
Acquire & Edit	H	GS1ELCA000006056	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328L3R

Page 1 of 1 (1-10 of 10 items) < 1 >

**Previous** 1 - 10 of 2606 records **Next**

Core Maintenance

Dashboard

Maintenance

Security Management

Tasks

**Free Tasks**

Hold Tasks

My Tasks

Search

Supervisor Tasks

Trade Finance



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ILCA000006076	GS1	000262	£11,000.00	Import LC Amendment	Scrutiny	GS1ILUN190322501
Acquire & Edit	M	GS1ELCAB0028460	GS1	000262	£100,000.00	Export LC Amendment-Bene...	KYC Exception Approval	GS1ELAC190328L3P
Acquire & Edit	H	GS1ELCA000006068	GS1	000263	£99,999.19	Export LC Advising	Retry HandOff	GS1ELAC190328L3Z
Acquire & Edit	H	GS1ELCA000006067	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328L3Y
Acquire & Edit	H	GS1ELCA000006064	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328L3V
Acquire & Edit	H	GS1ELCA000006056	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328L3R

Page 1 of 1 (1-10 of 10 items) 1

Previous 1 - 10 of 2606 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ILCA000006076	GS1	000262	£11,000.00	Import LC Amendment	Scrutiny	GS1ILUN190322501

Page 1 of 1 (1 of 1 items) 1

Previous 1 - 1 of 1 records Next

The Scrutiny stage has five sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration /online channels may not be editable.

**Audit** - This button provides user audit trail transaction, initiated date, stage wise detail etc..

Task Audit Trail Details					
Application No.	Branch Code	Initiated Date	Initiated By		
GS1ILCA000006076	GS1	2019-11-29	SRIDHAR01		
Process Name					
Import LC Amendment					
S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	2019-11-29 15:04:10	2019-11-29 15:14:07	SRIDHAR01	PROCEED

[Close](#)

## Main Details

Main details section has three sub section as follows:

- Application Details
- LC Amendment Details

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Import LC Amendment  
Scrutiny :: Application No:- PK2ILCA000010923

[Documents](#)
[Remarks](#)
[Overrides](#)
[Customer Instruction](#)
[Incoming Message](#)
[View LC](#)
[Signatures](#)

Main Details

- Availability Shipment
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details
- Summary

Main Details

- Application Details

Received From Applicant Bank  
Received From - Customer ID \*  
Branch  
Submission Mode

Received From - Customer Name  
Process Reference Number  
Customer Reference Number

20 - Documentary Credit Number  
Priority  
Beneficiary Consent

LC Details

- Revolving

Advising Bank  
Date of Expiry  
Beneficiary  
39C - Additional Amount Covered  
Export LC Available Amount

LC Type  
40A - Form of Documentary Credit  
31D - Place of Expiry  
32B - Currency Code, Amount  
Amount in Local Currency  
Export LC Expiry Date

Product Code  
31C - Date of Issue  
51A - Applicant Bank  
39A - Percentage Credit Amount Tolerance  
Back to Back LC  
Export LC Shipment Date

Product Description  
40E - Applicable Rules  
Applicant  
Limits/Collateral Required  
Export LC Reference  
Closure Date \*

[Audit](#)
[Reject](#)
[Refer](#)
[Hold](#)
[Cancel](#)
[Save & Close](#)
[Back](#)
[Next](#)

## LC Details


The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

**LC Details**

<b>Revolving</b> <input type="checkbox"/>	<b>LC Type</b> Sight	<b>Product Code</b> ILSR	<b>Product Description</b> Import LC Sight - Revolving advance Per
<b>Advising Bank</b> 001185 RBS PLC	<b>40A - Form of Documentary Credit</b> IRREVOCABLE	<b>31C - Date of Issue</b> May 5, 2021	<b>40E - Applicable Rules</b> UCP LATEST VERSION
<b>Date of Expiry</b> May 31, 2021	<b>31D - Place of Expiry</b> LONDON	<b>51A - Applicant Bank</b> 	<b>Applicant</b> 001044 GOODCARE PLC
<b>Beneficiary</b> 001204 PK2WALKIN1	<b>32B - Currency Code, Amount</b> GBP £10,000.00	<b>39A - Percentage Credit Amount Tolerance</b> /	<b>Limits/Collateral Required</b> <input type="checkbox"/>
<b>39C - Additional Amount Covered</b> 	<b>Amount In Local Currency</b> GBP £10,000.00	<b>Back to Back LC</b> <input type="checkbox"/>	<b>Export LC Reference</b> PK2ELAC211250002
<b>Export LC Available Amount</b> 	<b>Export LC Expiry Date</b> 	<b>Export LC Shipment Date</b> 	<b>Closure Date</b> Jun 30, 2021

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Following are the fields which can be amended apart from the fields carried over from [LC Details](#) of [Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected LC. and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected LC and user can amend if required.	
Beneficiary	This field displays the beneficiary details of the selected LC and user can amend if required.   <b>Note</b> If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message	
Currency Code, Amount	This field displays the value of LC along with the currency details of the selected LC and user can amend if required.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected LC and user can amend if required.	

Field	Description	Sample Values
Beneficiary Consent	<p><b>Toggle on:</b> Beneficiary consent required for the amendment made to the fields. Toggle must be on if amendments are made to the following fields:</p> <ul style="list-style-type: none"> <li>• Beneficiary</li> <li>• Documentary Credit Amount</li> <li>• Expiry Date</li> <li>• Tolerance</li> <li>• Available By</li> <li>• Tenor</li> <li>• Mixed/Deferred Payment Detail</li> <li>• Latest Shipment Date</li> </ul> <p>Application will display warning message, if the toggle is not enabled for the amendments made to the above mentioned fields.</p> <p><b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for the amendments.</p>	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	
Closure Date	<p>System retain the “Closure Date” value from the registration stage and defaults the same in the Data Enrichment stage.</p> <ul style="list-style-type: none"> <li>• Closure Date must be after the Issue Date.</li> <li>• Closure Date must be after the Expiry Date.</li> <li>• Closure Date cannot be blank.</li> </ul>	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Availability Shipment

User must verify/ Input/Update Availability, Shipment and Goods details of an Import LC request for the different fields under the respective data segments.

## Availability Details

**ORACLE** My Tasks 1,300 Jan 1, 2016 JEEVAUG subham@gmail.com

Import LC Amendment - Scrutiny :: Application No: 300ILCA00039076 Clarification Details Overrides View LC

**Availability Shipment** Screen (2 / 3)

**Availability Details**

41a-Available with \*  41a-Available By \*  42C-Drafts At  Drawee

Tenor

**Shipment Details**

43P-Partial Shipments  43T-Transshipment  44A-Place of Taking in Charge  44E-Port of Loading

44F-Port of Discharge  44B-Place of Final Destination  44C-Latest Date of Shipment  44D-Shipment Period

**45A Description of Goods and/or Services**

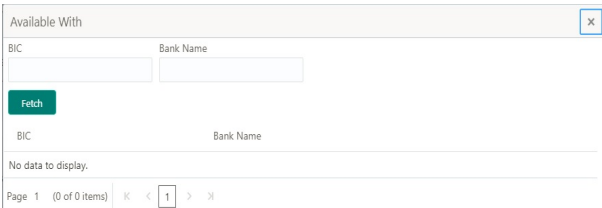

INCO Terms  INCO Terms Description

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount
<input type="checkbox"/> MACHINE1	Allowed Freely	Import of Textile Machinery			

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available of the issued LC.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> <li>If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.</li> </ul> <div> <div>Available With</div> <div> <div>BIC</div> <div>Bank Name</div> <div>Fetch</div> <div>BIC</div> <div>Bank Name</div> <div>No data to display.</div> <div>Page 1 (0 of 0 items)</div> <div>1</div> </div> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> <li>If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).</li> </ul>	

Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> <li>• BY ACCEPTANCE</li> <li>• BY DEF PAYMENT</li> <li>• BY MIXED PAYMENT</li> <li>• BY NEGOTIATION</li> <li>• BY PAYMENT</li> </ul> <p>Validation:</p> <p>1) If <b>By Mixed Payment</b> option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If <b>By deferred payment</b> is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If <b>By payment</b> is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> <li>• Search the bank with SWIFT code (BIC) or Bank Name.</li> </ul>  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div>  <p><b>Note</b></p> <p>This field is mandatory if value is provided at <b>Drafts At</b> field.</p> </div>	
Drawee Type	This field holds the value of Drawee Type as per the issued LC and can be amended if required.	
Tenor	This field displays the value of Tenor as per the issued LC and can be amended if required.	



## Shipment Details

ORACLE My Tasks (300) Jan 1, 2016 JEEVA02 subham@gmail.com

Import LC Amendment - Scrutiny :: Application No: 300ILCA000039076

Clarification Details Overrides View LC

Main Details Availability Shipment Payment Details Amendment Details Additional Fields Additional Details Summary

Availability Shipment

Availability Details

41a-Available with \* ANY BANK IN US 41a-Available By \* BY PAYMENT 42C-Drafts At Drawee

Tenor

Shipment Details

43P-Partial Shipments NOT ALLOWED 43T-Transshipment NOT ALLOWED 44A-Place of Taking in Charge 44E-Port of Loading NEW YORK

44F-Port of Discharge LONDON 44B-Place of Final Destination 44C-Latest Date of Shipment Apr 2, 2020 44D-Shipment Period

45A Description of Goods and/or Services




INCO Terms CIP INCO Terms Description Carriage and Insurance Paid (To) (name)




Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount
<input type="checkbox"/> MACHINE1	Allowed Freely	Import of Textile Machinery			

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the issued LC and can be amended if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>ALLOWED</li> <li>CONDITIONAL</li> <li>NOT ALLOWED</li> </ul>	
Transshipment	<p>This field displays the value of Transshipments as per the issued LC and can be amended if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>ALLOWED</li> <li>CONDITIONAL</li> <li>NOT ALLOWED</li> </ul>	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the issued LC and can be amended if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p>  <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Loading	<p>This field displays the value of port of loading as per the issued LC and can be amended if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Taking In Charge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the issued LC and can be amended if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Final Destination</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the issued LC and can be amended if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p>  <p><b>Note</b> This field is alternate to <b>Port Of Discharge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the issued LC and can be amended if required.</p>  <p><b>Note</b> This field is alternate to <b>Shipment Period</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	
Shipment Period	<p>This field displays the value of shipment period as per the issued LC and can be amended if required.</p>  <p><b>Note</b> This field is alternate to <b>Latest Date Of Shipment</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

## Description Of Goods And Or Services

ORACLE My Tasks (300) Jan 1, 2016 JEEVA02 subham@gmail.com

Import LC Amendment - Scrutiny :: Application No: 300ILCA000039076

Clarification Details Overrides View LC Screen ( 2 / 7)

**Availability Shipment**

**Availability Details**

41a-Available with \* ANY BANK IN US 41a-Available By \* BY PAYMENT 42C-Drafts At Drawee

Tenor

**Shipment Details**

43P-Partial Shipments NOT ALLOWED 43T-Transshipment NOT ALLOWED 44A-Place of Taking in Charge 44E-Port of Loading NEW YORK

44F-Port of Discharge LONDON 44B-Place of Final Destination 44C-Latest Date of Shipment Apr 2, 2020 44D-Shipment Period

**45A Description of Goods and/or Services**

INCO Terms CIP INCO Terms Description Carriage and Insurance Paid (To) (name)

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount
MACHINE1	Allowed Freely	Import of Textile Machinery			

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Import LC amendment Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Payment Details

The screenshot shows the 'Payment Details' form within the FuTura Bank application. The form is titled 'Import LC Amendment - Scrutiny :: Application No: GS11LCA000006076'. It features a sidebar with navigation options: Main Details, Availability Shipment, Payment Details (selected), Amendment Details, Additional Fields, Additional Details, and Summary. The main form area is divided into several sections for data entry:


- 49G - Spl Paymt Cond - Beneficiary:** A text input field with a magnifying glass icon.
- 49H - Spl Paymt Cond - Rec Bank:** A text input field with a magnifying glass icon.
- 48 - Period for Presentation:** A text input field containing the value '21'.
- 49 - Confirmation Instructions:** A dropdown menu with the selected value 'WITHOUT'.
- 58A - Requested Confirmation Party:** A dropdown menu with the selected value 'Select'.
- 58A - Requested Confirmation Party:** A text input field with a magnifying glass icon.
- 53A - Reimbursing Bank:** A text input field with a magnifying glass icon.
- 57A - Advise Through Bank:** A text input field with a magnifying glass icon.
- 78 - Instructions to P/A/N Bank:** A text input field with a magnifying glass icon.
- 72 - Sender to Receiver Information:** A text input field with a magnifying glass icon.
- 71D - Charges:** A large text area.
- 71N - Amendment charges payable by:** A large text area.

At the bottom of the form, there is a row of buttons: Audit, Reject, Hold, Cancel, Save & Close, Back, and Next.

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Spl Paymt Cond - Beneficiary	<p>This field displays the value of Spl Paymt Cond - Beneficiary as per the issued LC and can be amended if required.</p> <p>If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.</p>	

Field	Description	Sample Values
Spl Paymt Condn - Rec Bank	<p>This field displays the value of Spl Paymt Condn - Rec Bank as per the issued LC and can be amended if required.</p> <p>If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.</p>	
Period for Presentation	<p>This field displays the value of Period for Presentation as per the issued LC and can be amended if required.</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p>	
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the issued LC and can be amended if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <ul style="list-style-type: none"> <li>a) SWIFT code (if available),</li> <li>b) Name and address of the bank</li> </ul> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be amended if required.</p>	

Field	Description	Sample Values
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the issued LC and can be amended if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available),</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div style="text-align: center;">  <p><b>Note</b></p> <p>In case the selected Bank is not RMA Compliant, the system prompts me to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium".</p> </div>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available)</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Instructions to P/A/N Bank	This field displays the value of Instructions to P/A/N Bank as per the issued LC and can be amended if required.	
Sender to Receiver Information	This field displays the value of Sender to Receiver Information (FFT Details) as per the issued LC and can be amended if required.	
Charges	Charge Description as maintained in FFT will be available. User can modify the description.	
Amendment Charges Payable by	This field specifies the party who bear the amendment charges.	



## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a reject code and give a reject description.  This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

## Amendment Details

This section lists the amendments made to the issued LC. The amendment details table displays the amended value and the value prior to the amendment of the amended fields in two different columns to enable Scrutiny user to identify the modifications made to the issued LC.

FuTura Bank

My Tasks

FBN UK ( GS1 )

Feb 1, 2019

SRIDHAR01  
subham@gmail.com

Import LC Amendment - Scrutiny :: Application No: GS1ILCA000006076

Documents

Remarks

Info

Audit

View LC

Main Details

Availability Shipment

Payment Details

Amendment Details

Additional Fields

Additional Details

Summary

Amendment Details

Screen ( 4 / 7 )

LC Amendment Details

Field Name	Amended Value	Value as per LC
Amount	£12,000.00	£11,000.00
44A-Place of Taking in Charge	atlanta	a
44F-Port of Discharge	chennai	c

Party Details

Party Type	Party ID	Customer Name	Customer Ref No	Address1	Address2	Country	Status
No data to display.							

Goods Details

Goods Code	Goods Type	Goods Description	Status
ROLLINGCHAIR	Allowed Freely	Import of office chairs	New

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

# Additional Fields

Banks can configure these additional fields during implementation.

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01  
subham@gmail.com

Import LC Amendment - Scrutiny :: Application No: GS1ILCA000006076

Documents

Remarks

Info

Audit

View LC

✓ Main Details

✓ Availability Shipment

✓ Payment Details

✓ Amendment Details

**Additional Fields**

Additional Details

Summary

Additional Fields

No Additional fields configured!

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Screen ( 5 / 7 )

## Additional Details

ORACLE

Free Tasks

( DEFAULTTENITY )

( PK2 ) May 6, 2019

SRIDHAR02  
subham@gmail.com

Import LC Amendment - Scrutiny :: Application No: PK2ILCA000059854

Overrides

Incoming Message

View LC

Main Details

Availability Shipment

Payment Details

Amendment Details

Additional Fields

**Additional Details**

Summary

Additional Details

Limits and Collaterals

Limit Currency :  
Limit Contribution :  
Limit Status :  
Collateral Currency : **GBP**  
Collateral : **880**  
Contribution :  
Collateral Status :

Commission, Charges and...

Charge : **GBP 100.00**  
Commission : **GBP 137.50**  
Tax : **GBP 895.00**  
Block Status :

Revolving Details

Revolving : **Yes**  
Revolving In :  
Revolving Frequency :

Insurance Details

Company :  
Insured Amount :  
Expiry Date :

FX Linkage

FX Reference Number :  
Contract Currency :  
Contract Amount :

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

## Revolving Details

Revolving

Revolving

No

Revolving In

Revolving Frequency

Revolve Units

Next Reinstatement Date

mm/dd/yy

Cummulative

Automatic Reinstatement

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	

Field	Description	Sample Values
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office. Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044		100	GBP	£100,000.00			001044	

Cash Collateral Details

Collateral Percentage \*
90.0

Collateral Currency and amount
GBP
£1,000.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20010440017		45	£450.00		VN	

Deposit Linkage Details

<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.								

Save & Close
Cancel

Limit Details

Customer Id

001044

Contribution % \*

100.0

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Expiry Date

24-Dec-2020

Verify

Line ID \*

001044\_GB

Limits Description

Contribution Amount \*

£9,000.00

Limit Available Amount

£9,99,999.00

Response Message

The Earmark can be performed as the f

Save & Close

Close

Field	Description	Sample Values
<div>Plus Icon</div> <div>+</div>	Click plus icon to add new Limit Details.	

#### Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <div> <div></div> <div>Note</div> <div>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</div> </div>	

Field	Description	Sample Values
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount \*

£1,000.00

Sequence Number

1.0

Collateral Contribution Amount \*

£450.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

Response

VN

Verify

Collateral Amount to be Collected \*

£1,000.00

Collateral Split % \*

45.0

Settlement Account \*

PK20010440017

Exchange Rate

Account Available Amount

Response Message

Save & Close

Cancel

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
-------------------------	---	--



Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message. System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	

Field	Description	Sample Values
Cancel	Click to cancel the entry.	
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Commission, Charges and Taxes Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 > X									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 > X										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## FX Linkage Details

Following are the conditions of FX Linkage:

- If any LC Amendment is pending for beneficiary confirmation with FX changes, then the system, will not allow another amendment on this contract further.
- FX request will be processed in external system, only after successful amendment confirmation has been done.
- Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
- Delink of linked FX or Reduction of linked amount from the LC is allowed if the same is not attached to any Bills.

- Reduction of LC contract amount with FX, through LC amendment will be restricted, when the contract amount goes below the FX linkage amount. User will be intimated with the message.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200762005	GBP		\$1,000.00	1.33	\$1,000.00			Jun 28, 2020	

Page 1 of 1 (1 of 1 items)

Average FX Rate  
0

Save & Close Cancel

FX Linkage

FX Reference Number \*

PK2FXF1200762005

Currency

GBP

Contract Amount

GBP £1,000.00

Available Contract Amount

GBP £1,000.00

Linkage Amount \*

GBP £1,000.00

Rate

1.33

FX Expiry Date

Jun 28, 2020

Amount in Contract Currency

1000

FX Delivery Period From

FX Delivery Period To

Save & Close Close

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV. On selection, system defaults date, available amount, bought currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>• Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>• Active Forward FX transactions authorized not marked for auto liquidation.</li> <li>• Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</li> </ul>	

Field	Description	Sample Values
Currency	System defaults from the linked FX contract.	
Bought Currency	System defaults from the linked FX contract.	
SOLD Currency	System defaults from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount.  Available amount for linkage should be greater than Zero.	
Rate	Exchange rate is defaulted from the linked FX contract.	
Linked Amount	Sum of Linked amount will not be greater than Bill/LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Current Utilized Amount	Current Utilized amount displays the liquidated / purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized Amount	Total Utilized amount displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
FX Expiry Date	System defaults expiry date from the linked FX contract.	
FX Delivery Period From	Displays the FX delivery start date.	
FX Delivery Period To	Displays the FX delivery end date.	
Action	Click the Edit icon to edit the FX linkage details.  Click the Delete icon to delete the FX linkage details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	



Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Summary

User can review the summary of details updated in Scrutiny Import LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

Import LC Amendment - Scrutiny - Application No: PK2ILCA000062753

Screen (7 / 7)

Main Details	Availability Shipment	Payment Details	Amendment Details
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b> Date of Expiry : <b>2021-08-03</b> Place of Expiry : <b>fhghgh</b>	Available With : <b>CITIGB2LNNN</b> Available By : <b>A</b> Port of Loading : Port of Discharge :	Period of Present. : <b>21</b> Confirmation Instr. : <b>WITHOUT</b>	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission, Charges and Taxes	Revolving Details
Click here to view : Additional fields	Limit Currency : Limit Contribution : Limit Status : <b>Not Verified</b> Collateral Currency : Collateral Contr. : Collateral Status : <b>Not Verified</b>	Charge : <b>GBP100</b> Commission : <b>GBP1452</b> Tax : <b>GBP1976.3</b> Block Status : <b>Not Initia</b>	Revolving : <b>N</b> Revolving In : Revolving Frequency :
Insurance Details			
Company : Insured Amount : Expiry Date :			

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of issued LC.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Submit	<p>Task will get moved to next logical stage of Import LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

## Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.



### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for monitoring bank operations. The 'Draft Confirmation Pending' widget shows a list of pending drafts with columns for Customer Name, Application Date, and Status. The 'Hand-off Failure' widget shows a list of failed hand-offs with columns for Branch, Process Name, and Stage Name. The 'Priority Details' widget shows a list of priority tasks with columns for Branch, Process Name, and Stage Name. The 'High Value Transactions' widget shows a bubble chart of transactions over time. The 'SLA Breach Details' widget shows a table of SLA breaches with columns for Customer Name, SLA Breached (mins), and Priority. The 'Priority Summary' widget shows a summary of priority tasks. The 'Hold Transactions' widget shows a list of held transactions. The 'SLA Status' widget shows the current SLA status. The 'Tasks Detailed' widget shows a detailed view of tasks.

3. Click **Trade Finance> Tasks> Free Tasks**.

The 'Free Tasks' page displays a table of tasks. The table has columns for Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office Ref No. The 'Action' column has a dropdown menu with options like 'Acquire & Edit', 'Acquire', 'Delegate', 'Reassign', and 'Flow Diagram'. The 'Priority' column has values like 'M', 'H', and 'L'. The 'Application Number' column has values like 'GS1ILCA000006076', 'GS1ELCA000006124', 'GS1ELCA000006125', 'GS1ELCA000006123', 'GS1ELCA000006122', and 'GS1ELCA000006121'. The 'Branch' column has values like 'GS1'. The 'Customer Number' column has values like '000262', '000263', and '000264'. The 'Amount' column has values like '£12,000.00', '£100,000.00', and '£99,999.19'. The 'Process Name' column has values like 'Import LC Amendment', 'Export LC Advising', and 'Data Enrichment'. The 'Stage' column has values like 'Data Enrichment', 'Retry HandOff', 'Data Enrichment', 'Export LC Advising', 'Data Enrichment', and 'Scrutiny'. The 'Back Office Ref No.' column has values like 'GS1LUN190322501', 'GS1ILSN19032ABYN', 'GS1ELAC19032BLHL', 'GS1ELAC19032BLHJ', 'GS1ELAC19032BLHJ', and 'GS1ELAC19032BLHJ'. The page shows 1 of 10 items and 1 of 2752 records.

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The 'Free Tasks' page displays a table of tasks. The table has columns for Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office Ref No. The 'Action' column has a dropdown menu with options like 'Acquire & Edit', 'Acquire', 'Delegate', 'Reassign', and 'Flow Diagram'. The 'Priority' column has values like 'M', 'H', and 'L'. The 'Application Number' column has values like 'GS1ILCA000006076', 'GS1ELCA000006060', 'GS1ELCA000006068', 'GS1ELCA000006067', 'GS1ELCA000006064', and 'GS1ELCA000006056'. The 'Branch' column has values like 'GS1'. The 'Customer Number' column has values like '000262', '000263', and '000264'. The 'Amount' column has values like '£11,000.00', '£100,000.00', and '£99,999.19'. The 'Process Name' column has values like 'Import LC Amendment', 'Export LC Amendment-Bene...', 'Export LC Advising', and 'Data Enrichment'. The 'Stage' column has values like 'Scrutiny', 'KYC Exception Approval', 'Retry HandOff', 'Scrutiny', 'Scrutiny', and 'Scrutiny'. The 'Back Office Ref No.' column has values like 'GS1LUN190322501', 'GS1ELAC19032BL3P', 'GS1ELAC19032BL3Z', 'GS1ELAC19032BL3Y', 'GS1ELAC19032BL3V', and 'GS1ELAC19032BL3R'. The page shows 1 of 10 items and 1 of 2606 records. The 'Acquire & Edit' button is highlighted.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

The screenshot displays the 'My Tasks' section of the FuTura Bank interface. A table lists a single task with the following details:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
<a href="#">Edit</a>	M	GS1ILCA000008076	GS1	000262	£12,000.00	Import LC Amendment	Data Enrichment	GS1ILUN190322501

The interface includes a sidebar with navigation options like 'Core Maintenance', 'Dashboard', 'Maintenance', 'Security Management', 'Tasks', 'Free Tasks', 'Hold Tasks', 'My Tasks' (selected), 'Search', 'Supervisor Tasks', and 'Trade Finance'. At the top, there are buttons for 'Refresh', 'Release', and 'Flow Diagram'. The bottom of the table shows pagination: 'Page 1 of 1 (1 of 1 items)' and '1 - 1 of 1 records'.

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

## Main Details

Refer to [Main Details](#).

## Availability & Shipment

Refer to [Availability Shipment](#).

## Documents & Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

Import LC Amendment - DataEnrichment :: Application No: PK2ILCA000062753

Screen ( 3 / 10)

Main Details  
Availability Shipment  
**Documents and Conditions**  
Payment Details  
Amendment Details  
Additional Fields  
Advices  
Additional Details  
Settlement Details  
Summary

Documents and Conditions  
Document Details

Code	Name	Copy	Original	Description	Action
AIRDOC	Air Way	2	1/2	Airway Bill	
INSDOC	Insurance	2		Insurance Policy/Certificate is...	
INVDOC	Invoice		1/2	Commercial invoice, duly sign...	
MARDOC	Sea Way	2	1/2	COPY OF FAX/TELEX ADVISIN...	
OTHERDOC	OTHERDOC			Beneficiary's declaration stati...	

Page 1 of 1 (1-5 of 5 items) < 1 >

Additional Conditions

Select	FFT Code	FFT Description
<input type="checkbox"/>	71BCHARGES	
<input type="checkbox"/>	ADDCONDISS	

Audit Reject Refer Hold Cancel Save & Close Back Next

## Documents Required

Documents required section displays the list of documents required as per the issued LC and can be amended if required.



### Note

Insurance document is available in Documents Details section, if value in the 'INCO Term' field is not 'CFR', 'FOB', 'FCA', 'FAS' or 'EXW' in the Availability stage. If not system gives the warning message.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

## Additional Conditions

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

## Payment Details

Refer to [Payment Details](#).

## Amendment Details

Refer to [Amendment Details](#).

# Additional Fields

Refer to [Additional Fields](#).

# Advices

Import LC Amendment - DataEnrichment :: Application No: PK2ILCA000062753

Main Details

Availability Shipment

Documents and Conditions

Payment Details

Amendment Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Advices

Advice : LC\_AMND\_INSTR

Advice Name : LC\_AMND\_INSTR  
Advice Party : ABK  
Party Name : CITIBANK IRELAND  
Suppress : NO  
Advice

Advice : AMD\_IMP\_CR

Advice Name : AMD\_IMP\_CR  
Advice Party : APP  
Party Name : GOODCARE PLC  
Suppress : NO  
Advice

Advice : LC\_AM\_INST\_CO...

Advice Name : LC\_AM\_INST\_COPY  
Advice Party : APP  
Party Name : GOODCARE PLC  
Suppress : NO  
Advice

Advice : LC\_CASH\_COL\_A...

Advice Name : LC\_CASH\_COL\_ADV  
Advice Party : APP  
Party Name : GOODCARE PLC  
Suppress : NO  
Advice

Advice : LC\_AMD\_AUTH\_...

Advice Name : LC\_AMD\_AUTH\_REB  
Advice Party :  
Party Name :  
Suppress : YES  
Advice

Advice : PAYMENT\_MESS...

Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress : NO  
Advice

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

The user can also suppress the Advice, if required.



## Additional Details

Drawings Under Transfer LC - DataEnrichment :: Application No: PK2TLC000003485

Overrides Screen ( 8 / 10)

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Advices
- Additional Details**
- Settlement Details
- Summary

### Additional Details

<b>Revolving Details</b> <ul style="list-style-type: none"> <li>Revolving : <b>No</b></li> <li>Revolving In :</li> <li>Revolving Frequency :</li> </ul>	<b>Limits and Collaterals</b> <ul style="list-style-type: none"> <li>Limit Currency :</li> <li>Limit Contribution :</li> <li>Limit Status :</li> <li>Collateral Currency :</li> <li>Collateral Contribution :</li> <li>Collateral Status :</li> </ul>	<b>Commission, Charges and...</b> <ul style="list-style-type: none"> <li>Charge :</li> <li>Commission :</li> <li>Tax :</li> <li>Block Status : <b>Not Initiated</b></li> </ul>	<b>Payment Details</b> <ul style="list-style-type: none"> <li>Immediate Liquidation : <b>No</b></li> <li>Required : <b>No</b></li> <li>Immediate : <b>No</b></li> <li>Acceptance Required</li> </ul>
<b>FX Linkage</b> <ul style="list-style-type: none"> <li>FX Reference Number :</li> <li>Contract Currency :</li> <li>Contract Amount :</li> </ul>	<b>Loan Preferences</b> <ul style="list-style-type: none"> <li>Loan Product :</li> <li>Linkage Ref. No :</li> <li>Loan Tenor :</li> <li>Loan Currency :</li> <li>Loan Amount :</li> <li>Loan Maturity Date :</li> </ul>	<b>Preview Messages</b> <ul style="list-style-type: none"> <li>Language :</li> <li>Preview Advice : -</li> </ul>	

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Revolving Details

Revolving

Revolving

Revolving In

Revolving Frequency

Revolve Units

Next Reinstatement Date

Cummulative

Automatic Reinstatement

mm/dd/yy

Save & Close

Cancel

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	

Field	Description	Sample Values
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office. Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044		100	GBP	£100,000.00			001044	

Cash Collateral Details

Collateral Percentage \*

90.0

Collateral Currency and amount

GBP

£1,000.00

Exchange Rate

1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20010440017		45	£450.00		VN	

Deposit Linkage Details

<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.								

Save & Close
Cancel

Limit Details

Customer Id

001044

Contribution % \*

100.0

Contribution Currency

GBP

Limit Currency

GBP

Limit Check Response

Available

Expiry Date

24-Dec-2020

Verify

Line ID \*

001044\_GB

Limits Description

Contribution Amount \*

£9,000.00

Limit Available Amount

£9,99,999.00

Response Message

The Earmark can be performed as the f

Save & Close

Close

Field	Description	Sample Values
<div>Plus Icon</div> <div></div>	Click plus icon to add new Limit Details.	

#### Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <div> <div></div> <div>Note</div> <div>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</div> </div>	

Field	Description	Sample Values
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount \*

£1,000.00

Sequence Number

1.0

Collateral Contribution Amount \*

£450.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

Response

VN

Verify

Collateral Amount to be Collected \*

£1,000.00

Collateral Split % \*

45.0

Settlement Account \*

PK20010440017

Exchange Rate

Account Available Amount

Response Message

Save & Close

Cancel

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:


Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
-------------------------	---	--

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field.  This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field.  This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.  Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.  System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message.  System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	

Field	Description	Sample Values
Cancel	Click to cancel the entry.	
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Commission, Charges and Taxes Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	



Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	

Page 1 of 1 (1 of 1 items)

Average FX Rate  
0

Save & Close Cancel

FX Linkage

FX Reference Number \*  
PK2FXF1200760501

Contract Amount  
EUR €10,000.00

Linkage Amount \*  
EUR €10,000.00

Amount in Contract Currency  
7518.8

FX Delivery Period From

Currency  
EUR

Available Contract Amount  
EUR €10,000.00

Rate  
1.33

FX Expiry Date  
28-Jun-2020

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	

Field	Description	Sample Values
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details.  Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Preview

User can view the draft LC amendment message (outgoing MT707 SWIFT message format) being displayed on the preview message text box.

A copy of draft LC amendment can be sent for customer confirmation and the same can be done by choosing the customer response toggle as 'yes'. The Draft MT707 message along with up to seven MT708 messages is sent to the applicant by Email as an attachment to the customer.

On submit, the message will be sent to the customer. The task will be moved to 'pending customer response' stage. Upon receipt of customer's confirmation, the transaction moves to approval.

In case the customer has requested for changes, the transaction will move to Data Enrichment and once the necessary changes made, the request moves to approval stage.

Provide the Preview details based on the description in the following table:

Field	Description	Sample Values
Language	Select the language for the SWIFT message.	
Preview Draft Message	Display a preview of the draft message.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not.	

Following fields will have values on receipt of customer response.

Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system.	
Customer Remarks	Remarks from the customer for the draft.	
Response Date	Customer Response received date.	
Default Email list	Default Email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

## Settlement Details

Import LC Amendment - DataEnrichment - Application No: PK2ILCA000003119

Main Details  
Availability Shipment  
Documents and Conditions  
Payment Details  
Amendment Details  
Additional Fields  
Advices  
Additional Details  
**Settlement Details**  
Summary

Settlement Details  
☐ Current Event

Component

Currency

Debit/Credit

Account

Account Description

Account Currency

Netting Indicator

Current Event

AILSR_COM1_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N
AILSR_COMM_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMT_OSEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMNDAMTEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		Y
COLLAMTEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMT_DECR	USD	Credit	313100004	LC Confirming Charges	GBP		Y
COLLAMT_INCR	USD	Debit	PK1000328012	HSBC BANK	GBP		Y
COLLAVALAMTEQ	USD	Credit	313100004	LC Confirming Charges	GBP		N
LCCOURAMND_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		Y
LCCOURISS_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N

COLLAMNDAMTEQ - Party Details

Transfer Type

Bank Transfer

Ordering Institution

Account With Institution

Charge Details

Remitter All Charges

Senders Correspondent

Beneficiary Institution

Netting Indicator

Receivers Correspondent

Ultimate Beneficiary

Ordering Customer

Intermediary Institution

Intermediary Reimbursement Institution

Payment Details

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the the current event as Y or N.	

## Summary

User can review the summary of details updated in Data Enrichment stage Import LC Amendment request.

FuTura Bank

My Tasks

FBN UK (GS1)

Feb 1, 2019

SRIDHAR01  
subham@gmail.com

Import LC Amendment - Data Enrichment :: Application No: GS1ILCA000006076

Documents

Remarks

Info

Audit

View LC

Main Details

Availability Shipment

Documents and Conditions

Payment Details

Amendment Details

Additional Fields

Limits and Collaterals

Commission, Charges and Taxes

Revolving Details

Draft Details

Preview Messages

Compliance

Party Details

Summary

Screen ( 8 / 8 )

Main Details

Form Of LC : IRREVOCABLE

Submission Mode : Desk

Date Of Issue : 2019-02-01

Date Of Expiry : 2019-05-31

Place Of Expiry : NEGOTIATIN...

Availability Shipment

Available With : ANY BANK

Available By : PAYMENT

Port of Loading : b

Port of Discharge : chennai

Documents and Conditions

Document 1 : BOL

Document 2 : INSDOC

Document 3 : MARDOC

Document 4 : PACKINGLIST

Payment Details

Period Of Present. : 21

Confirmation Instr. : WITHOUT

Amendment Details

Amount : 12000

Currency : GBP

Tolerance : 10/10

Place Of Expiry : NEGOTIATIN...

Date Of Expiry : 2019-05-31

Additional Fields

Click here to view additional fields

Limits and Collaterals

Limit Currency : GBP

Limit Contribution : 13200

Limit Status : Not Verified

Collateral Currency : GBP

Collateral Contr. : 1320

Collateral Status : Available

Commission, Charges and Taxes

Charge : GBP600

Commission :

Tax :

Block Status : Not Initia...

Revolving Details

Revolving : No

Revolving In :

Revolving Frequency :

Draft Details

Confirm. Required : No

Confirm. Response :

Response Date :

Preview Messages

Language : ENG

Preview Message : -

Compliance

KYC : Verified

Sanctions : Not Initia...

AML : Not Initia...

Party Details

Applicant : EMR & CO

Beneficiary : NESTLE

Advising Bank : CITIBANK NY

Reject

Hold

Cancel

Save & Close

Back

Next

Submit

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

76

ORACLE®



## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

## Customer Response - Draft Confirmation

The customer response can be received both by online and offline mode.

In non-online mode, user receives the response in the branch.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

### Customer Response

Language - Read only field

Draft Message - Read only field

## Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Changes Required - Change and proceed

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

Customer Remarks - Capture the remarks of the customer.

Response Date - Non-Online channel – Update the date on which the customer response has been received.

Online Channel – Read only

## Summary

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.  Refer Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	

## Exceptions

The Import LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

## Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

## Amount Block Exception

This section will display the amount block exception details.

Free Tasks

SRIDHAR01  
subham@gmail.com

Feb 1, 2019

Import LC Amendment - Amount Block Exception Approval :: Application No: GS1ILCA000006076

DocumentsRemarksAuditView LC

Amount Block Exception

Summary

Amount Block Exception

Screen ( 1 / 2 )

Amount Block Exception Details

Type	Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
Charge	GBP	50	000	625362256	GBP		BF	
Charge	GBP	500	000	0224	USD		BF	
Charge	GBP	50	000	1201200000	GBP		BF	

## Summary

Free Tasks

SRIDHAR01  
subham@gmail.com

Feb 1, 2019

Import LC Amendment - Amount Block Exception Approval :: Application No: GS1ILCA000006076

DocumentsRemarksAuditView LC

Amount Block Exception

Summary

Screen ( 2 / 2 )

Main Details

Form Of LC : **IRREVOCABLE**  
Submission Mode : **Desk**  
Date Of Issue : **2019-02-01**  
Date Of Expiry : **2019-05-31**  
Place Of Expiry : **NEGOTIATING**

Availability

Available With : **ANY BANK**  
Available By : **PAYMENT**  
Port of Loading : **b**  
Port of Discharge : **chennai**

Payment

Period Of Present. : **21**  
Confirmation Instr. : **WITHOUT**

Documents & Conditions

Document 1 : **BOL**  
Document 2 : **INSDOC**  
Document 3 : **MARDOC**  
Document 4 : **PACKINGLIST**

Revolving Details

Revolving : **N**  
Revolving In :  
Revolving Frequency :

Limits Details

Limit Currency : **GBP**  
Limit Contribution : **13200**  
Limit Status : **Not Verified**  
Collateral Currency : **GBP**  
Collateral Contr. : **1320**  
Collateral Status : **Success**

Party Details

Applicant : **EMR & CO**  
Beneficiary : **NESTLE**  
Advising Bank : **CITIBANK NY**

Charge

Charge : **GBP600**  
Commission :  
Tax :  
Block Status : **Failed**

Preview

Confirm. Required : **No**  
Response Date :  
Confirm. Response :

Compliance

KYC : **Verified**  
Sanctions : **Verified**  
AML : **Verified**

Audit

RejectHoldReferCancelApproveBackNext

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.

- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Amendment Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

### Summary

**Summary**

Application :- 203ILCISS000000011

Section	Field	Value
Main Details	Form Of LC	IRREVOCABLE
	Submission Mode	Desk
	Date Of Issue	2018-04-13
	Date Of Expiry	2018-07-31
	Place Of Expiry	ny
Party Details	Applicant	NESTLE
	Beneficiary	EMR & CO
	Advising Bank	BANK OF AMERICA
	Confirming Bank	
Limits & Collaterals	Limit Currency	
	Limit Contribution	
	Limit Status	
	Collateral Currency	
	Collateral Contribution	
Compliance	KYC	Not Verified
	Sanctions	Not Initiated
	AML	Not Initiated

Buttons: Reject, Hold, Refer, Cancel, Approve, Back, Next, Submit

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Amendment KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



#### Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

#### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

#### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

#### Reject



The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

FuTura Bank

My Tasks

Bank Futura - Br... (203)

04/13/18

SRIDHAR

Import LC Amendment - Credit Exception - Review

Documents

Remarks

View LC

Credit Exception

Summary

Credit Exception

Screen ( 1 / 2 )

▶ Application :- 203ILCAM0017597

▲ Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed a

▲ Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	20300134500000000010	Success	The amount block can

Reject

Hold

Refer

Cancel

Approve

Back

Next

FuTura Bank

My Tasks

Bank Futura -Br... (203)04/13/18SRIDHAR

Import LC Amendment - Credit Exception - Review

Credit Exception

Summary

Summary

Screen ( 2 / 2)

Application :- 203ILCAM0017597

Main Details

Form Of LC : **REVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **4/13/2018**

Date Of Expiry : **7/19/2018**

Place Of Expiry : **London**

Party Details

Applicant : **XXX**

Beneficiary : **XXX**

Advising Bank : **XXX**

Confirming Bank : **XXX**

Availability & Shipment

Available With : **YOUR SELVES**

Available By : **By Payment**

Port Of Loading :

Port Of Discharge : **Chennai**

Payments

Period Of Present. :

Confirmation Instr. : **CONFIRM**

Advise Through Bank :

Amendment Details

Amount : **20000**

Currency : **GBP**

Date Of Expiry : **7/19/2018**

Place Of Expiry : **London**

Tolerance :

Documents & Condition

Document 1 : **BOL**

Document 2 : **MARDOC**

Document 3 : **INSDOC**

Limits & Collaterals

Limit Currency : **GBP**

Limit Contribution : **20000**

Limit Status : **Available**

Collateral Currency : **GBP**

Collateral Contribution : **2000**

Collateral Status : **Success**

Charge Details

Charge : **GBP 50**

Commission :

Tax :

Block Status : **Success**

Revolving Details

Revolving : **No**

Revolving In :

Revolving Frequency :

Preview Messages

Confirm, Required : **Yes**

Confirm, Response :

Response Date :

Compliance

KYC : **Verified**

Sanctions : **Verified**

AML : **Verified**

Reject

Hold

Refer

Cancel

Approve

Back

Next

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	<p>Cancel the Import LC Amendment Limit exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

## Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT798, on approval the task is handed off to back office system to amend the LC contract and generate the required MT707/708/747 messages.

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Authorization Re-Key

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- Application Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'Free Tasks' interface in the Futura Bank system. A modal window titled 'Approval Rekey' is open, allowing the user to re-key critical field values. The modal includes three tabs: 'Incoming Message', 'Documents', and 'Remarks'. The 'Applicant Party' field is set to '000262' and the 'Application Date' is set to 'Feb 1, 2019'. Both fields have green checkmarks next to them, indicating successful validation. The background shows a table of tasks with columns for Action, Priority, Application Number, Process Name, Stage, and Back Office Ref No. The table lists various tasks such as 'Import LC Liquidation', 'Export LC Advising', and 'Import LC Amendment'.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC Amendment. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

### Action Buttons

Proceed	On proceed, the screen navigates to approval summary screen.	
---------	--	--

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Amendment Approval Rekey.	

## Summary

**Futura Bank** Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR02 subham@gmail.com

Import LC Amendment - Approval1 :: Application No: GS1ILCA000006076

**Summary** Screen ( 1 / 1 )

Main Details		Availability		Payment		Documents & Conditions	
Form Of LC	: IRREVOCABLE	Available With	: ANY BANK	Period Of Present.	: 21	Document 1	: BOL
Submission Mode	: Desk	Available By	: PAYMENT	Confirmation Instr.	: WITHOUT	Document 2	: INSDOC
Date Of Issue	: 2019-02-01	Port of Loading	: b			Document 3	: MARDOC
Date Of Expiry	: 2019-05-31	Port of Discharge	: chennai			Document 4	: PACKINGLIST
Place Of Expiry	: NEGOTIATIN...						

Revolving Details		Limits Details		Party Details		Charge	
Revolving	: N	Limit Currency	: GBP	Applicant	: EMR & CO	Charge	: GBP600
Revolving In	:	Limit Contribution	: 13200	Beneficiary	: NESTLE	Commission	:
Revolving Frequency	:	Limit Status	: Not Verified	Advising Bank	: CITIBANK NY	Tax	:
		Collateral Currency	: GBP			Block Status	: Failed
		Collateral Contr.	: 1320				
		Collateral Status	: Success				

Preview		Compliance	
Confirm. Required	: No	KYC	: Verified
Response Date	:	Sanctions	: Verified
Confirm. Response	:	AML	: Verified

**Audit** Reject Hold Refer Cancel Approve

### Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

## Customer - Acknowledgement

Customer Acknowledgment is generated every time a new Import LC Amendment is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgment to your LC Amendment Application number <USER REFERENCE> dated <APPLICATION DATE>

This letter is to let you know that we have received your application requesting amendment to Import LC with the below details.

APPLICATION DATE:< APPLICATION DATE>

APPLICANT NAME: <APPLICANT NAME>

CURRENT/AMOUNT: <LC CCY/AMT>

YOUR REFERENCE NO: <USER REFERENCE NUMBER>

OUR REF NUMBER: <PROCESS REF NUMBER>

We have registered your request. Please quote our reference < PROCESS REF NUMBER> for any future correspondence.

This acknowledgment does not warrant Amendment of LC on you behalf.

Thank You for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and should not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this e-mail message and/or attachments to it are strictly prohibited.

If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments. Thank you

## Customer - Reject Letter

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your LC Application <User Ref> under our Process Ref <Process Ref No> - Rejected

Further to your recent LC amendment application request dated <Application Date -dd/mm/yy>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the required LC amendment.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reasons

1. XXXXXXXXXX
2. XXXXXXXXXX
3. XXXXXXXXXX

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your LC application review, please contact us at <bank customer support ph.no>

Yours Truly

<Authorized Signatory>

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

## Application Details

The application details data segment have values for requests received from both non-online and online channels.



## Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

## A

Additional Details	
Action Buttons	38
Charge Details	35, 50
Limits & Collateral	31
Preview	53
Revolving Details	30, 45
Availability & Shipment	
Action Buttons	24
Availability Details	19
Description Of Goods And Or Services	24
Shipment Details	21

## B

Benefits	4
----------	---

## C

Customer - Acknowledgement	68
Customer - Reject Letter	69
Customer Response - Draft Confirmation	
Customer Response	55
Action Buttons	57
Draft Confirmation	56
Summary	56

## D

Data Enrichment	40
Additional Details	45
Additional Fields	44
Amendment Details	44
Availability & Shipment	42
Documents & Conditions	43
Main Details	42
Payment Details	44
Summary	54
Documents & Conditions	
Additional Conditions	43
Documents Required	43

## E

Exceptions	
Exception - Amount Block	58
Exception - Know Your Customer (KYC)	60
Exception - Limit Check/Credit	62

## I

Import LC Amendment	5
Customer Response - Draft Confirmation	55

Data Enrichment	40
Exceptions	57
Multi Level Approval	65
Registration	5
Scrutiny	12

## K

Key Features	4
--------------	---

## M

Main Details	
Action Buttons	17
Application Details	15
LC Amendment Details	16
Multi Level Approval	
Authorization Re-Key	65

## O

Overview	4
----------	---

## P

Payment Details	
Action Buttons	28
Payment Details	26

## R

Registration	5
Application Details	7
LC Amendment Details	9
Miscellaneous	11
Reject Approval	70
Action Buttons	70
Application Details	70
Summary	70

## S

Scrutiny	12
Additional Details	30
Additional Fields	29
Amendment Details	29
Availability & Shipment	18
Main Details	15
Payment Details	26
Summary	38

### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

### Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

### Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.